



Kinetic Equilibrium of Dipolarization Fronts*

Guru Ganguli, Chris Crabtree, Erik Tejero, Alex Fletcher, and David Malaspina¹

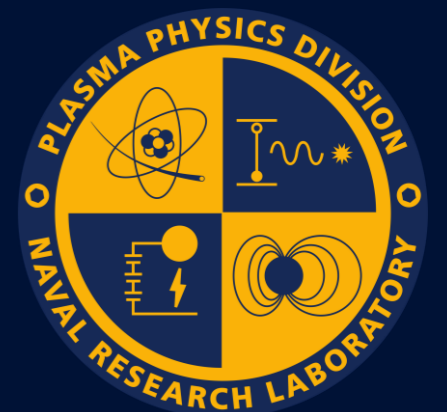
Plasma Physics Division, Naval Research Laboratory, Washington DC

¹ Laboratory for Atmospheric and Space Physics, University of Colorado, Boulder CO

*Work Supported by NRL base funds and NASA

Particle Dynamics in the Earth's Radiation Belts
AGU Chapman Conference, Cascais, Portugal

March 4 – 9, 2018



Distribution function

$$f_{0a}(X_g, H_a(x)) = \frac{N_a}{(\rho v_{ta}^2)^2} Q_a(X_g) e^{-\frac{H_a(x)}{kT_a}},$$

Constants of motion: $H_a = mv^2 / 2 + eY(x)$, $X_g = x + v_y / W$

Guiding center distribution

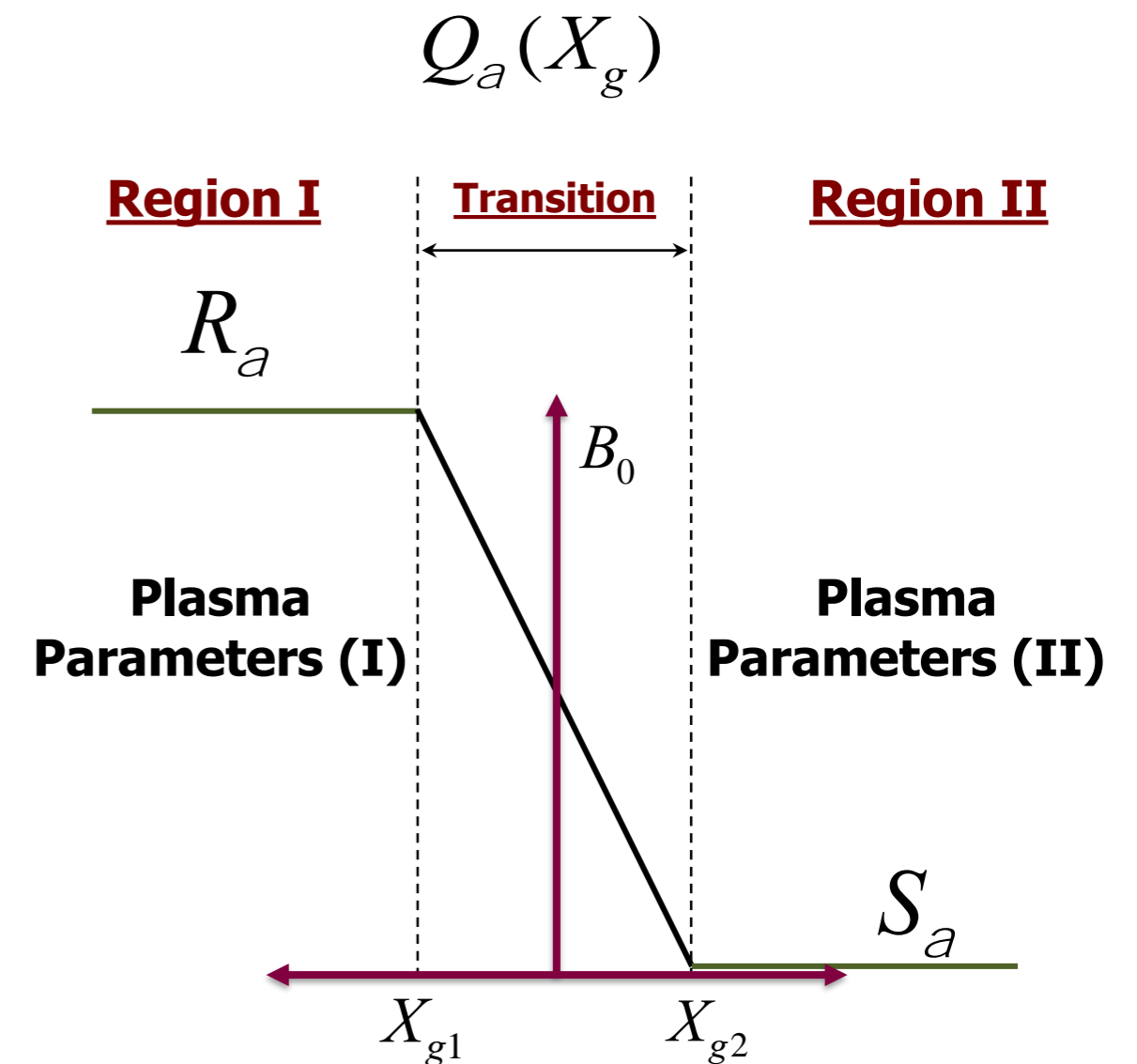
$$Q_a(X_g) = \begin{cases} R_a & , X_g < X_{g1} \\ R_a + (S_a - R_a) \left(\frac{X_g - X_{g1}}{X_{g2} - X_{g1}} \right) & , X_{g1} < X_g < X_{g2} \\ S_a & , X_g > X_{g2} \end{cases}$$

Density: $\langle f_{0a} \rangle^0 \int f_{0a}(v, Y(x)) dv = n_{0a}(Y(x))$

Quasi-neutrality determines the electrostatic potential

$$\sum_a \hat{a} n_{0a}(Y(x)) = 0$$

With $\Psi(x)$ determined, the distribution function is fully specified



[Romero et al., GRL, 1990]

In the aftermath of reconnection plasma is dragged by the magnetic field lines to create a pressure gradient layer with scale size $\sim \rho_i$ or less

Steady state can be modeled by

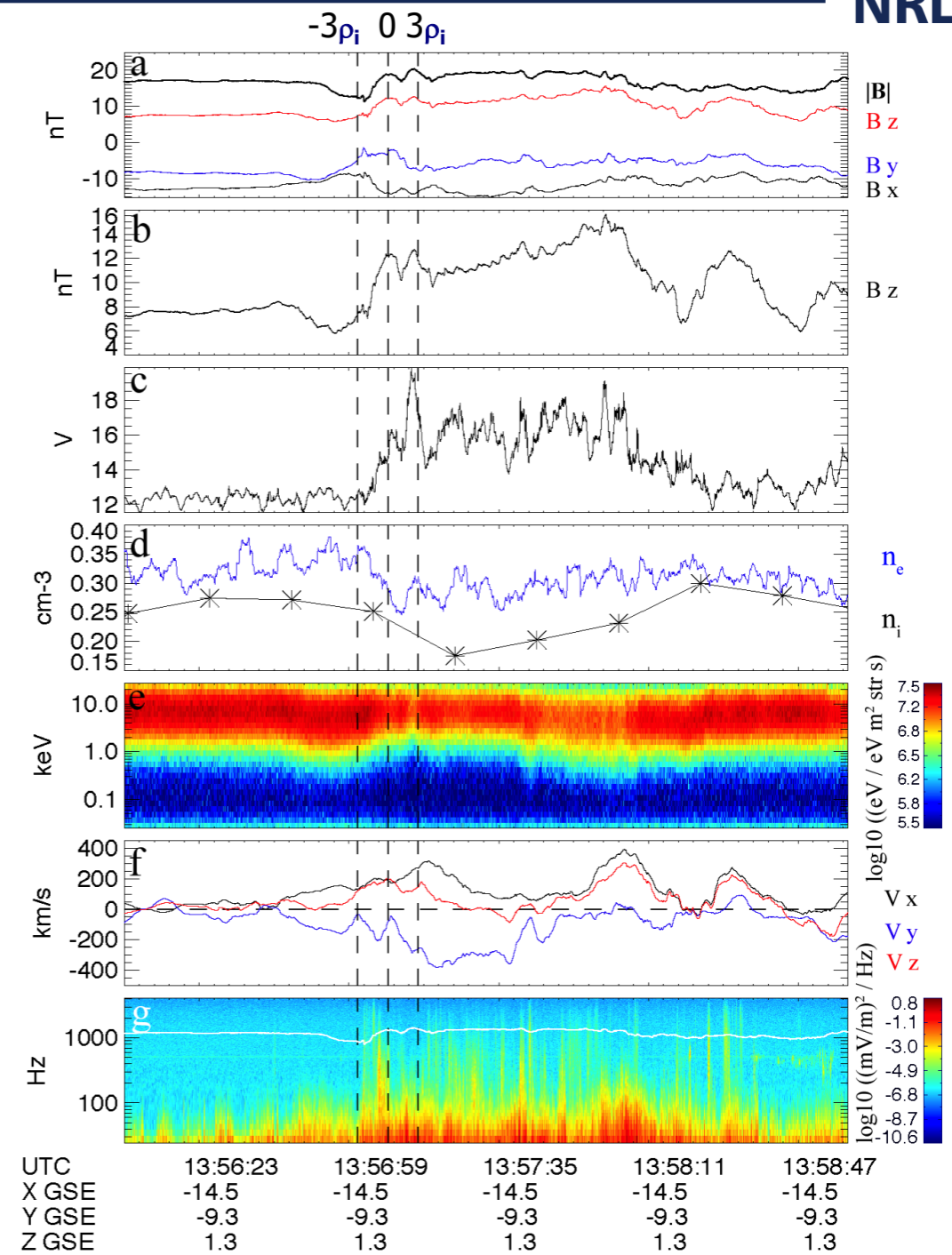
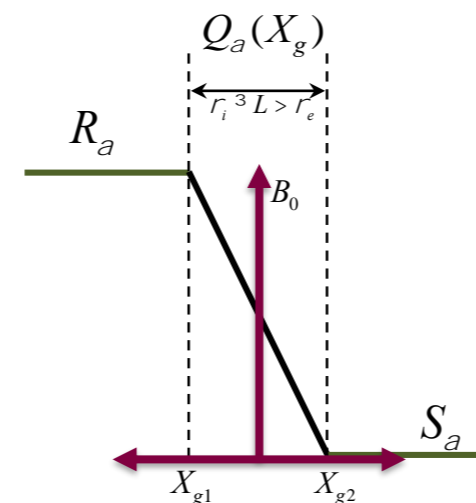
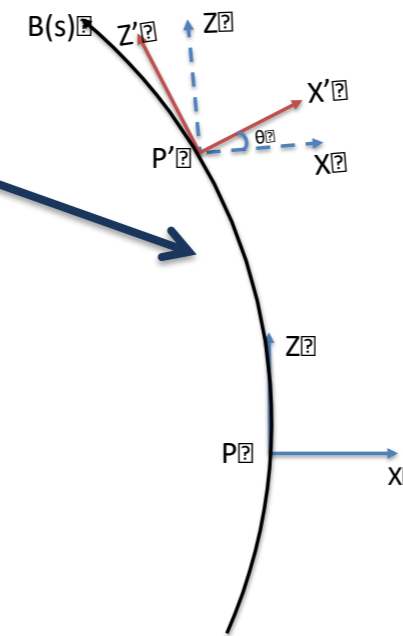
- $X_{g1} = -1.0 \rho_i, X_{g2} = -1.5 \rho_i$: Ions
- $X_{g1} = -0.5 \rho_i, X_{g2} = -1.2 \rho_i$: Electrons
- $R = 1.0, S = 0.75$ for both ions and electrons
- ρ_i evaluated in the Earthward region outside DF

Global Compression Surrogate:

- R, S , determine magnitude
- ΔX_g the pressure gradient scale size

Obtained from observation or global model

DF Geometry



(a, b) Low-frequency magnetic field data (128 Samples/s) in GSE coordinates.

(c) Electron and proton density.

(d) Electron temperature parallel and perpendicular to the background magnetic field.

(e) Parallel and perpendicular proton temperatures

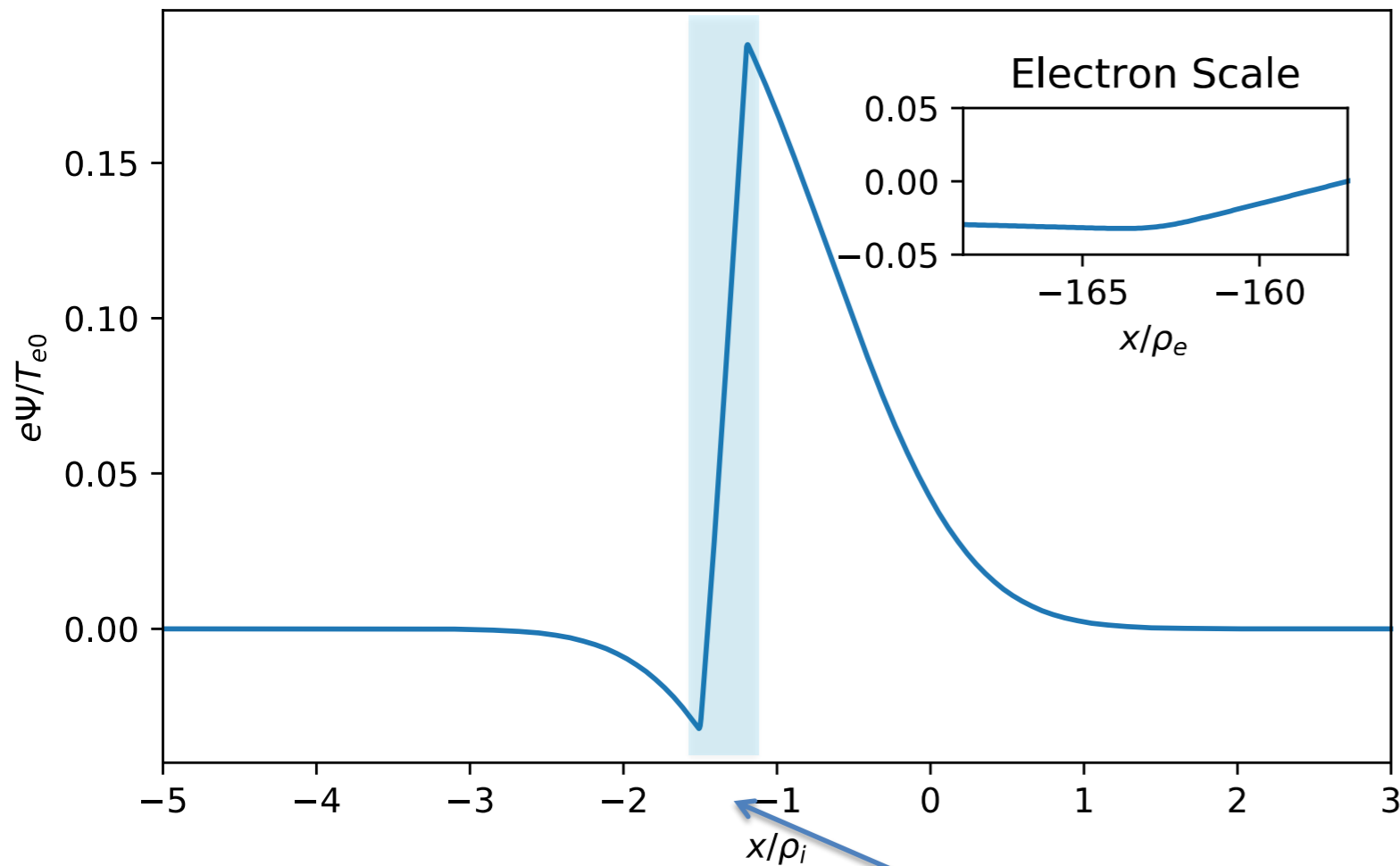
(f) Proton velocity components, in GSE coordinates.

(g) Electric field wave power spectral density.

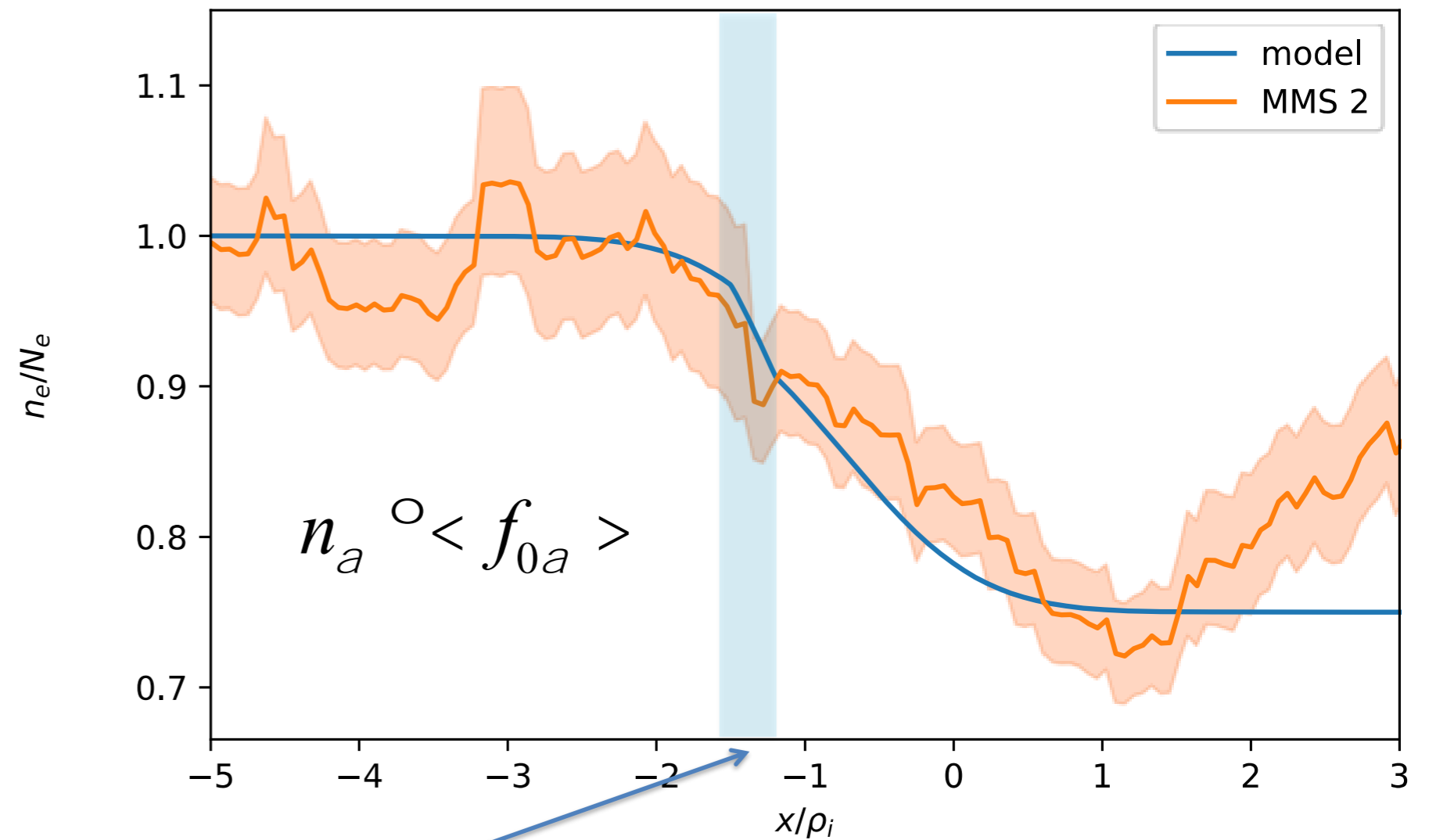
The temperature ratio $T_{e0}/T_{i0} \sim 0.16$ Earthward of DF

Separation of electron and ion scales evident Not possible in a MHD/Fluid model

Ambipolar Electrostatic Potential



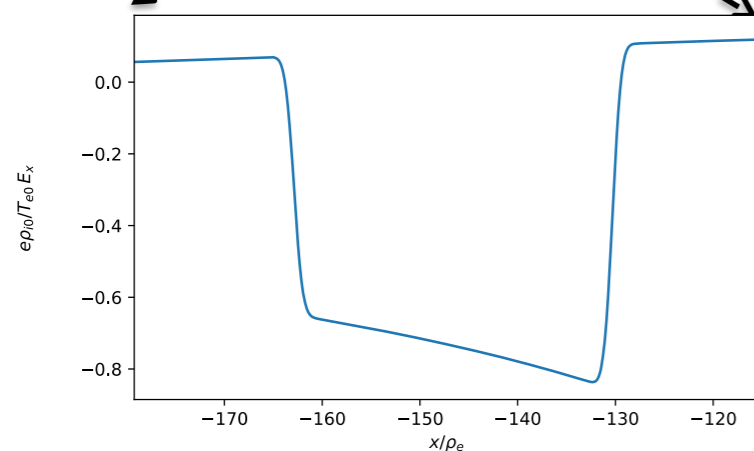
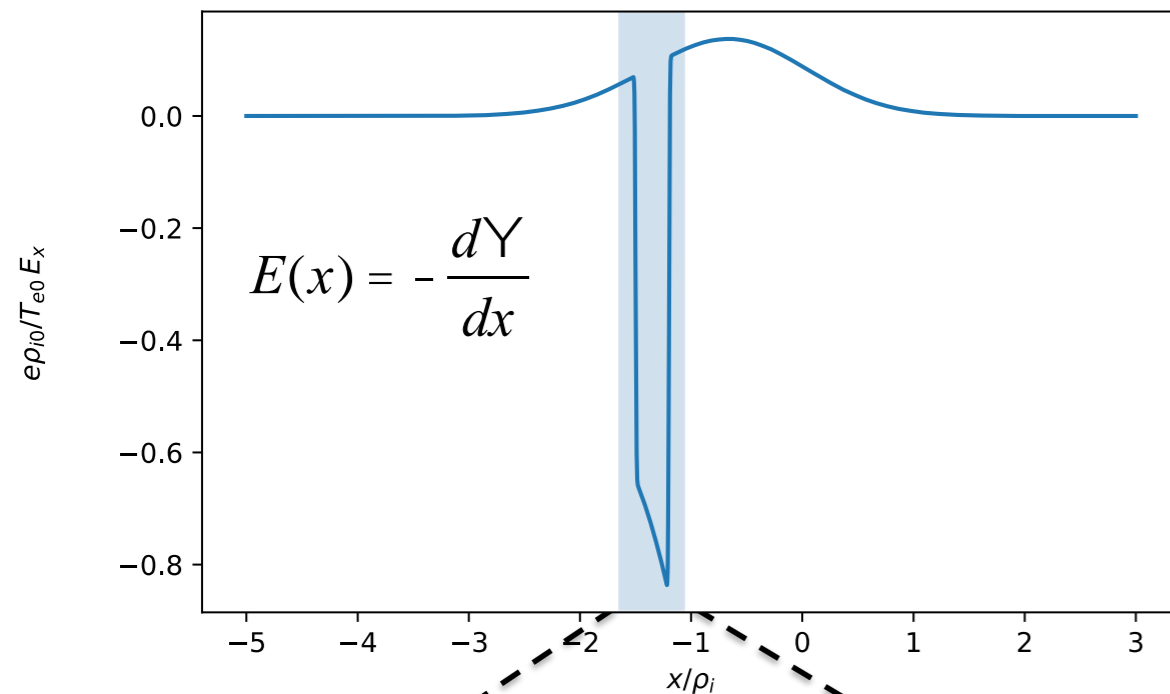
Self-Consistent Density



Electron scale variations

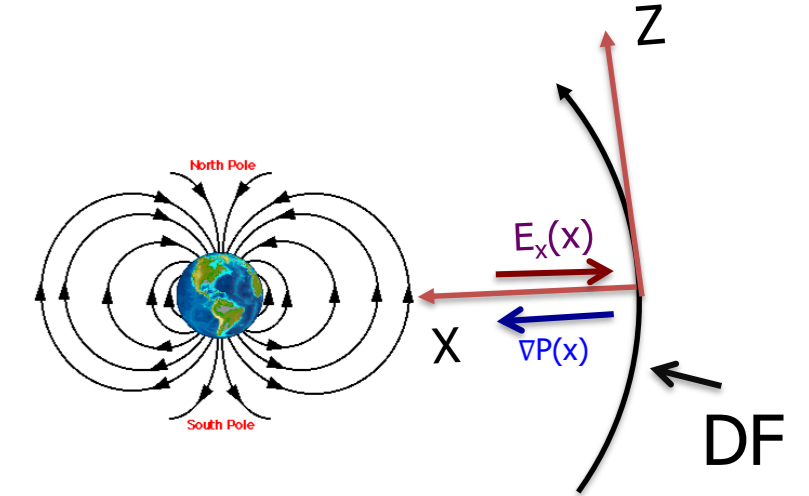
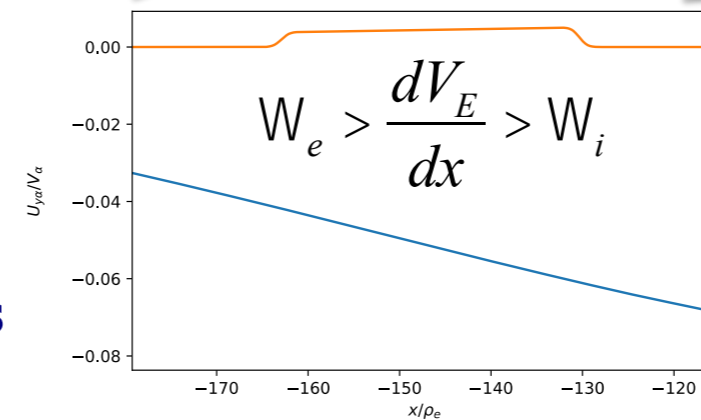
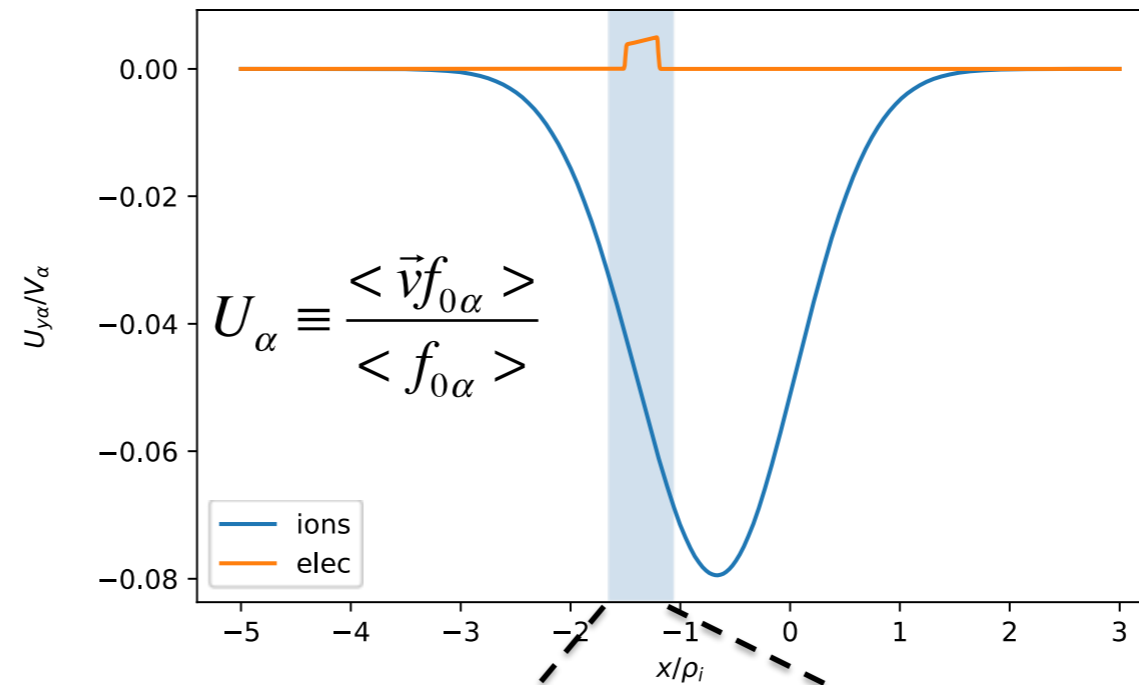
Electric field magnitude and gradient is stronger in electron layer
Kinetic property unlike in MHD/Fluid models

Perpendicular Electric Field



Electron scale variations

Self-Consistent Flows



Ambipolar electric field saturates for $L \lesssim \rho_i$

In MHD/Fluid models $E \propto (\nabla P_i)/n$ blows up for $L \rightarrow 0$

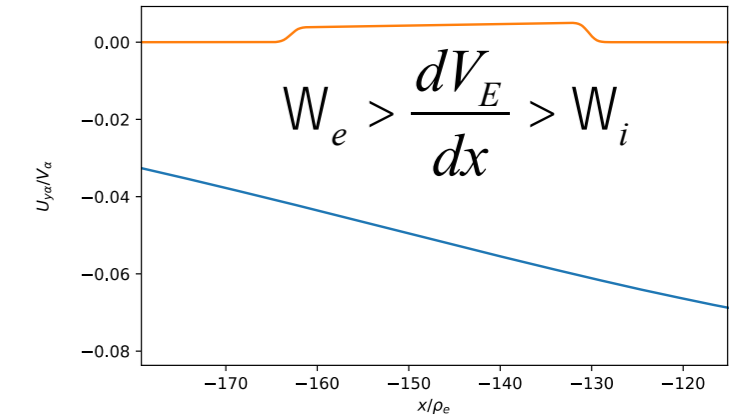
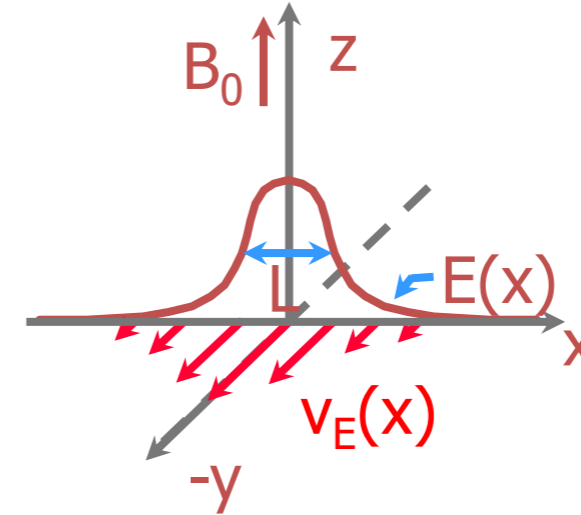
Separate flow layers seen in ISEE data [Parks et al. JGR, 1979]

Kinetic property unlike in MHD/Fluid models

Velocity shear becomes an important factor

$$\ddot{v}_x = -\eta(x)\Omega^2 v_x + O(\varepsilon^2) \quad \eta(x) = 1 + \frac{1}{\Omega} \underbrace{\frac{dV_E(x)}{dx}}_{\text{Velocity Shear}} \quad \Omega \rightarrow \underbrace{\sqrt{\eta(\xi)}\Omega}_{\text{Renormalized Gyro-frequency}}$$

- for $\eta > 0$ orbit oscillatory but for $\eta < 0$ exponential



Affects zeroth-order plasma dynamics

- Particles can move across magnetic field lines
- Unique plasma distribution is created with temperature anisotropy in x and y directions

$$f_0(\xi, H) \approx \frac{n_0}{\sqrt{\eta(\xi)}} \left(\frac{\beta}{2\pi} \right)^{3/2} e^{-\overbrace{(\beta/2)(v_x^2 + \eta(\xi)(v_y - \langle v_y \rangle)^2)}^{Tx \neq Ty}} e^{-(\beta/2)v_z^2}$$

- where $\eta > 0$ and $L > \rho_e$

$$X = x + (v_y - V_E(x)),$$

$$V_E(x) = -cE(x)/B_0, \quad b = 1/v_{th}^2$$

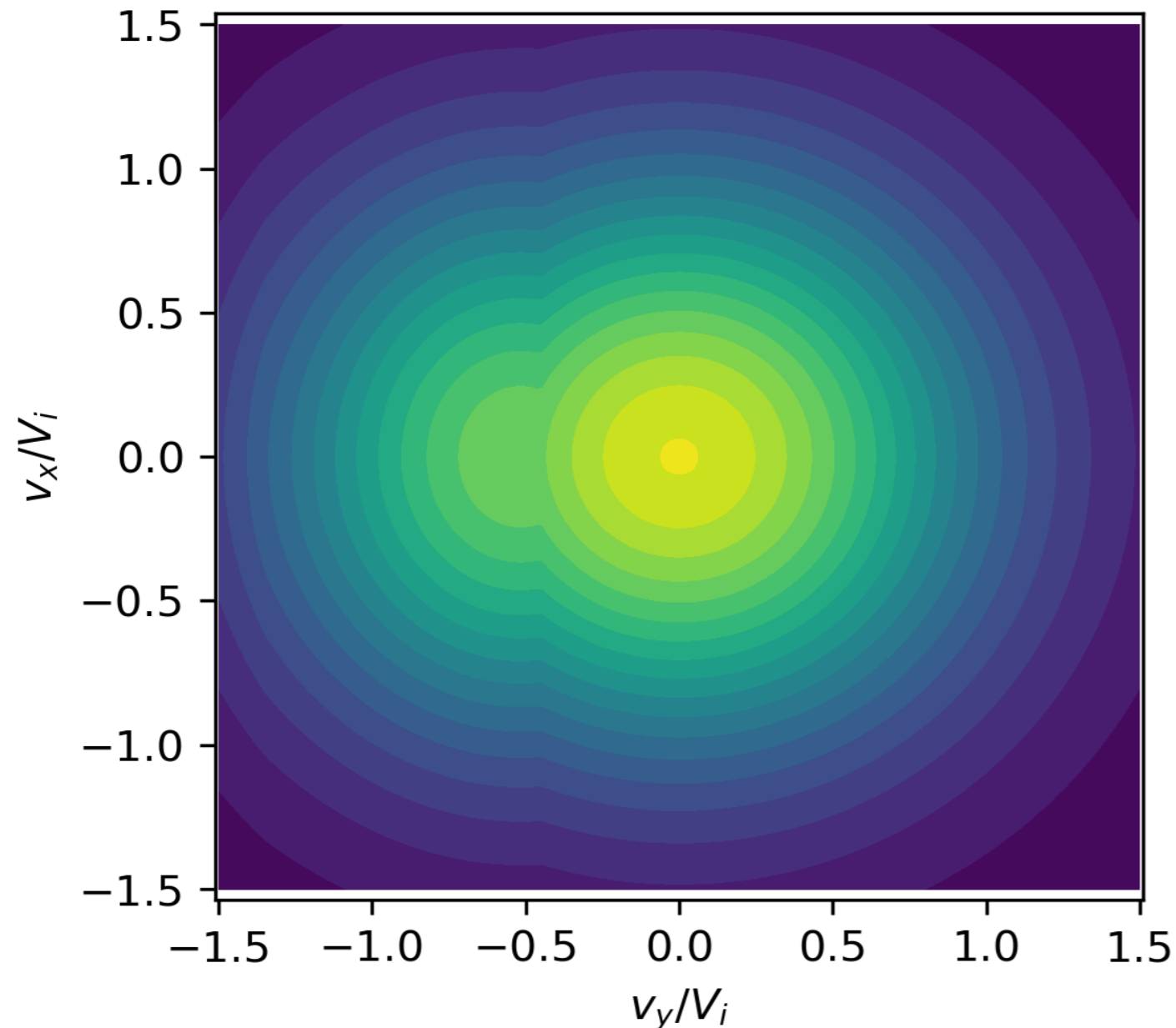
[Ganguli et al., Phys Fluids. 1988]

The ions experience strong velocity shear while electrons experience weak shear

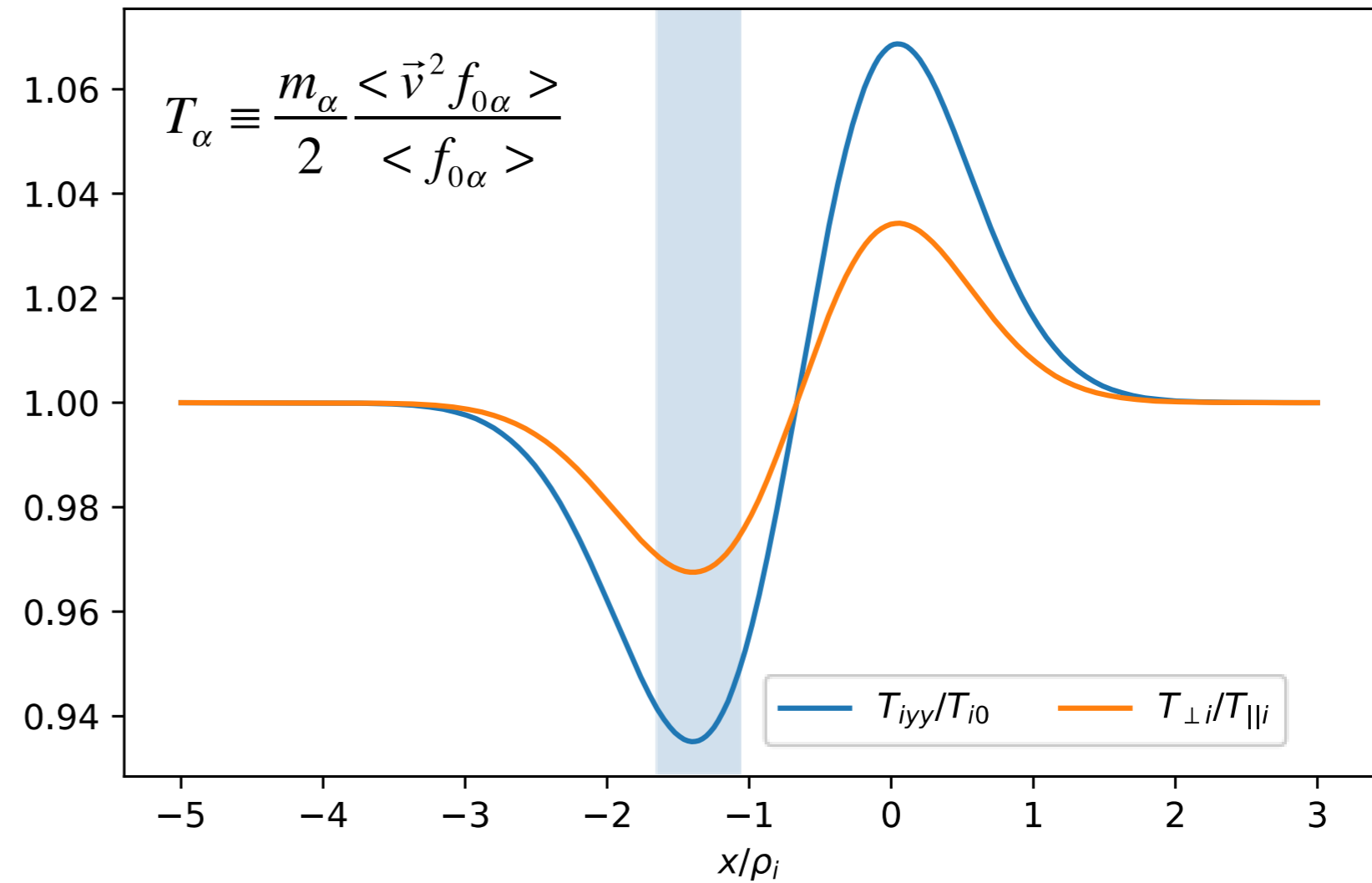
Electric Field Gradient Breaks Gyrotropy and Introduces Temperature Anisotropy

Non-gyrotropy and temperature anisotropy small for electrons but large for ions because velocity shear $dV_E/dx > \Omega_i$ but $< \Omega_e$

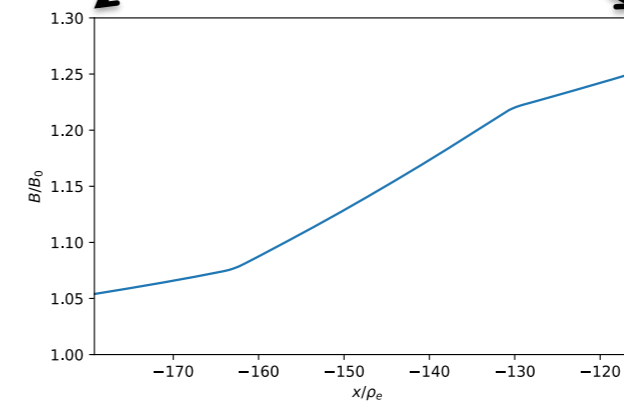
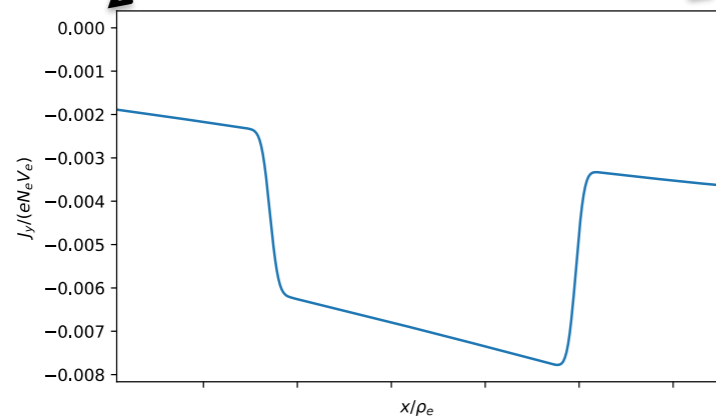
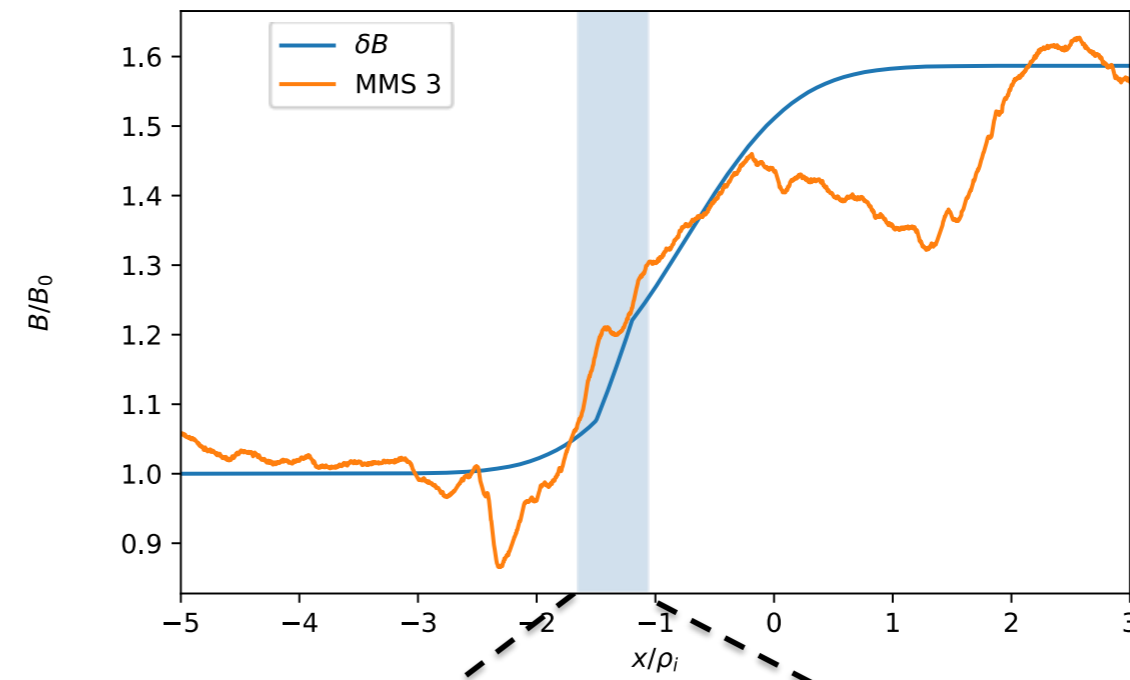
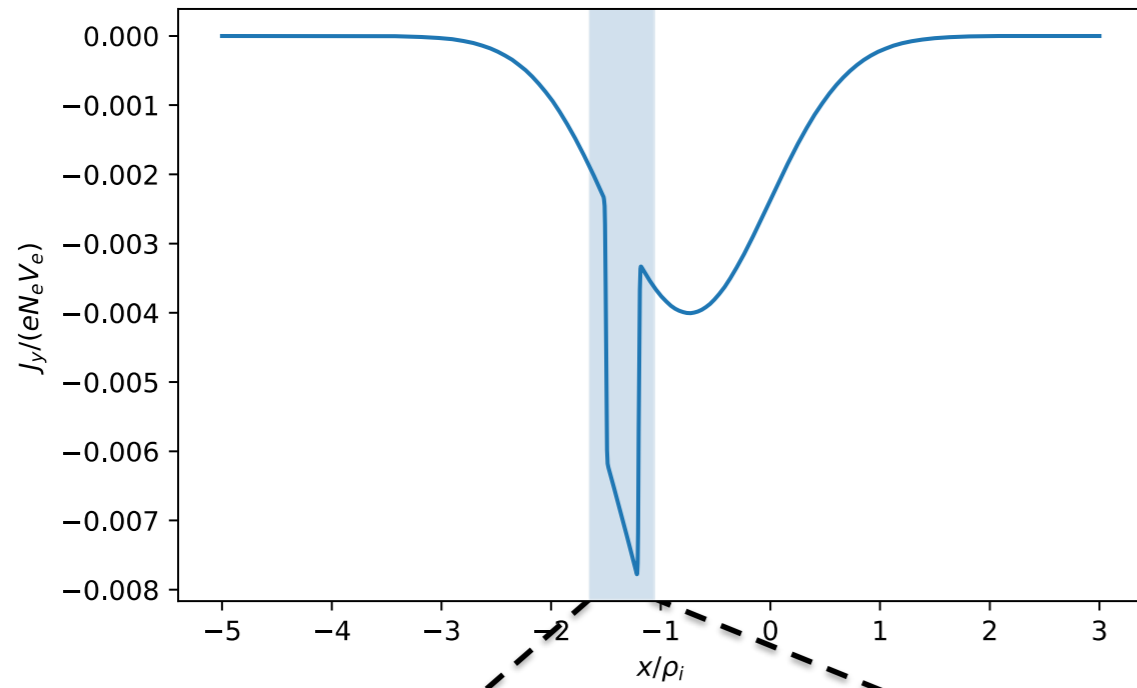
Ion Distribution Function



Temperature Anisotropy



Unequal electron and ion flows lead to net perpendicular current and consequent magnetic flux pileup



Electron scale variations

The x-z component of the magnetic field rotates along the DF field lines

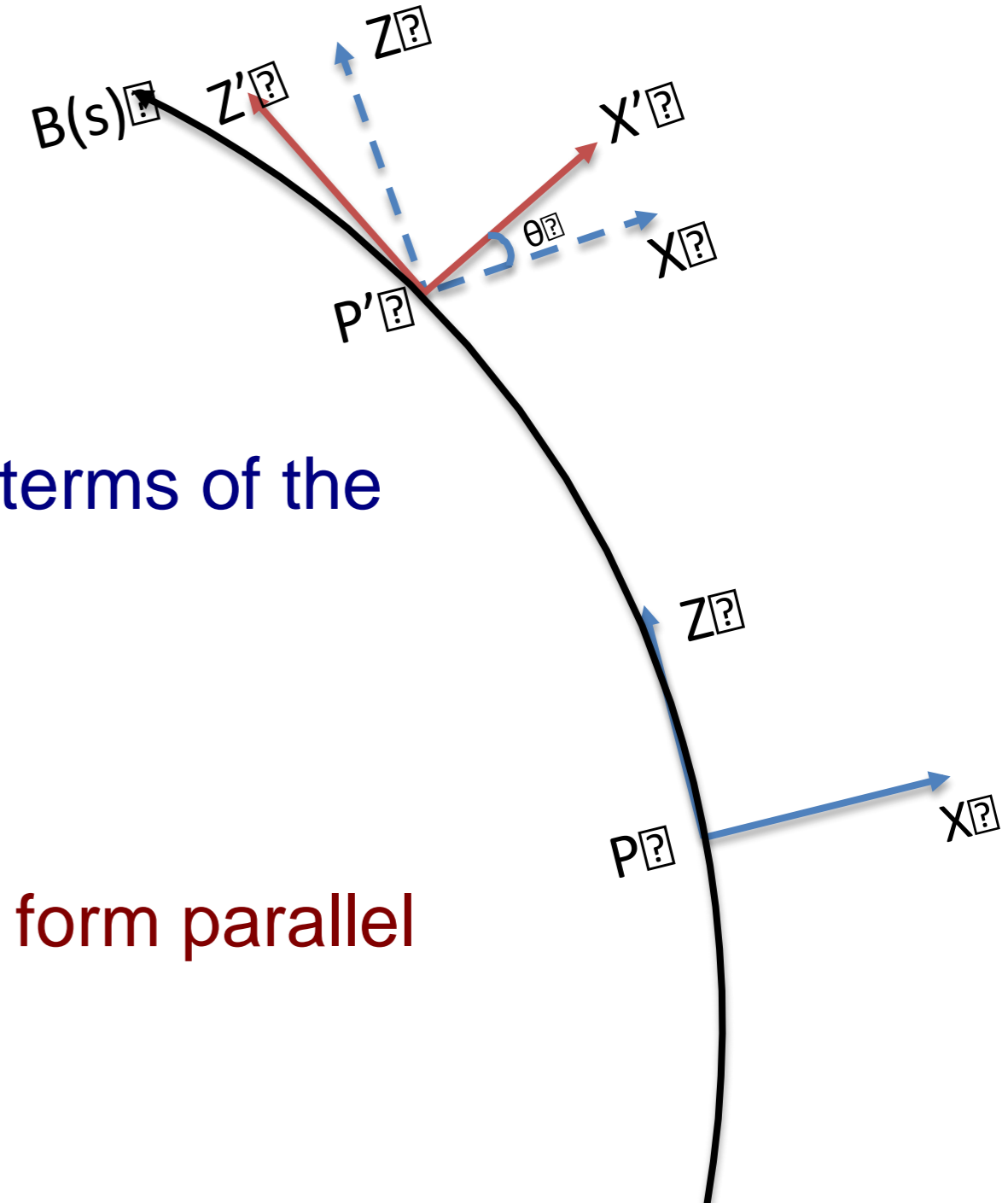
The es potential $\Psi(B(s))$ changes along the field lines generating a parallel electric field

$$E_{\parallel}(s) \approx -\partial \Psi(B(s)) / \partial s = (x / L_{\parallel}) E_x(x) \quad L_{\parallel} \sim (\partial \ln B(s) / \partial s)^{-1}$$

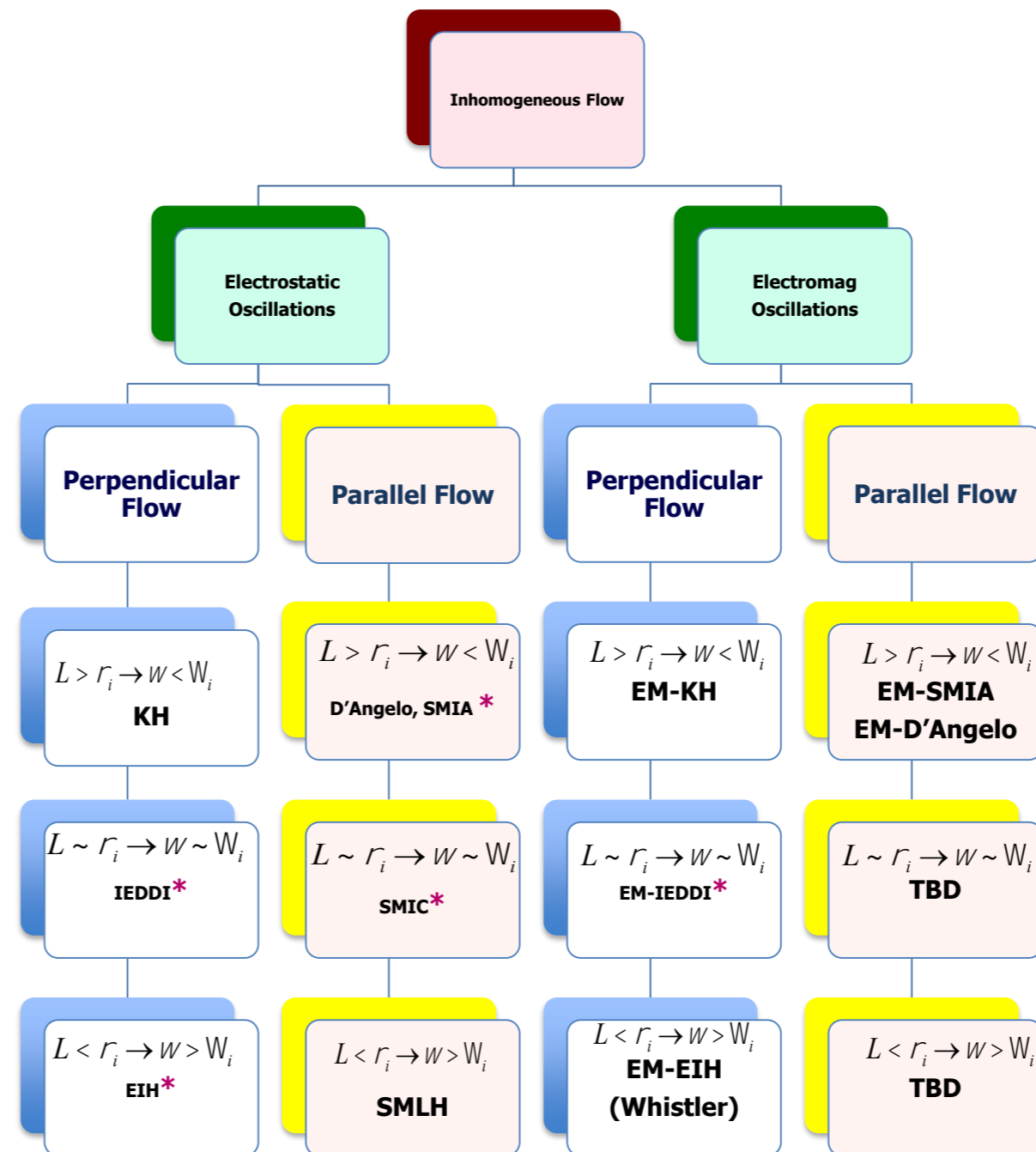
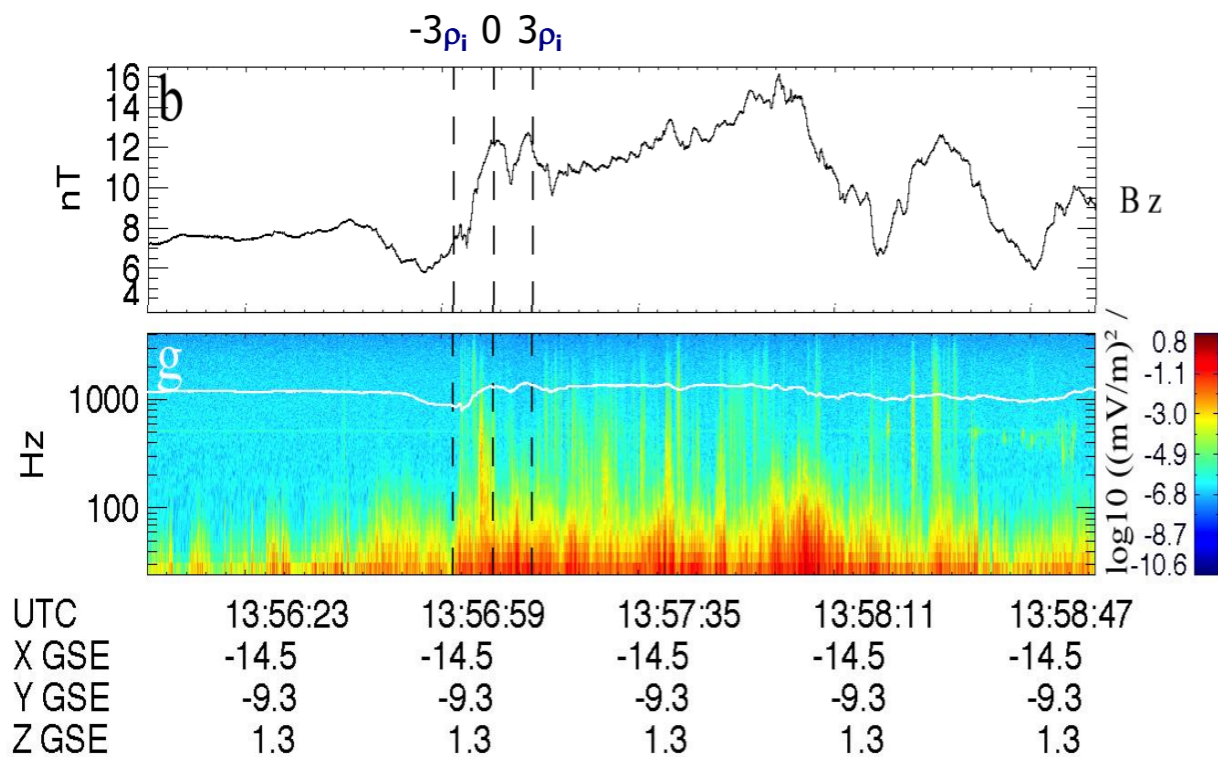
Existence of parallel electric field implies non-zero off-diagonal terms of the pressure tensor for parallel force balance;

$$en(x)E_{\parallel}(x) = -(\nabla \cdot \vec{P}(x)) \cdot \hat{s} = -(\partial_x p_{xx} \hat{b}_x + \partial_x p_{xz} \hat{b}_z)$$

The parallel electric can accelerate the non-thermal particles to form parallel beams



Velocity shear, generated by global compression, is the natural source of free energy in DF



* Experiments in

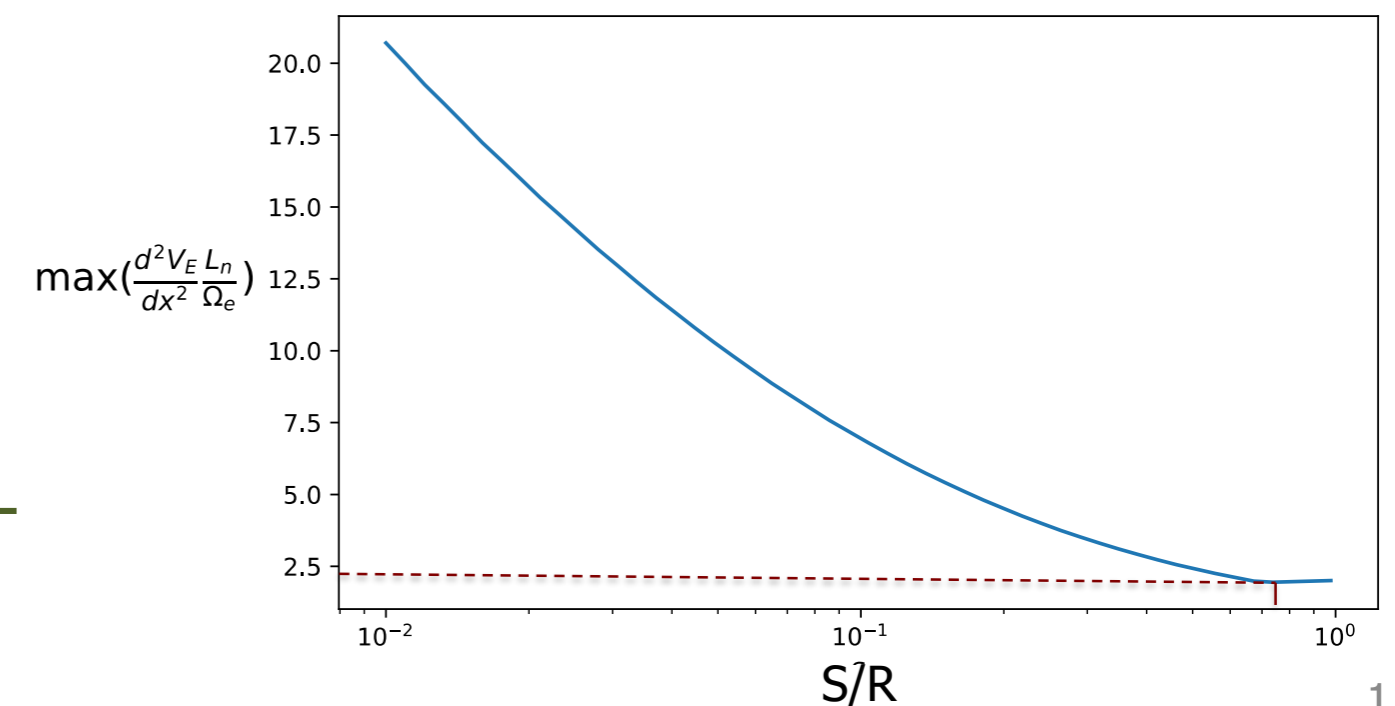
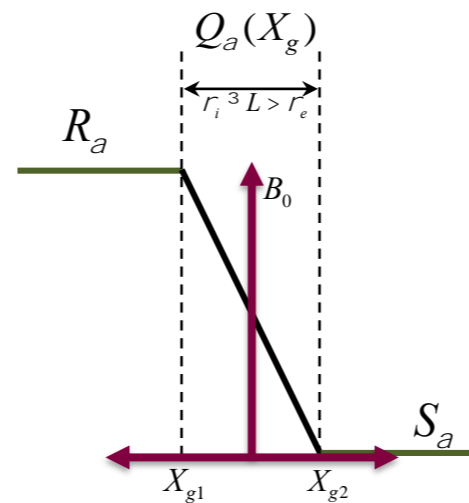
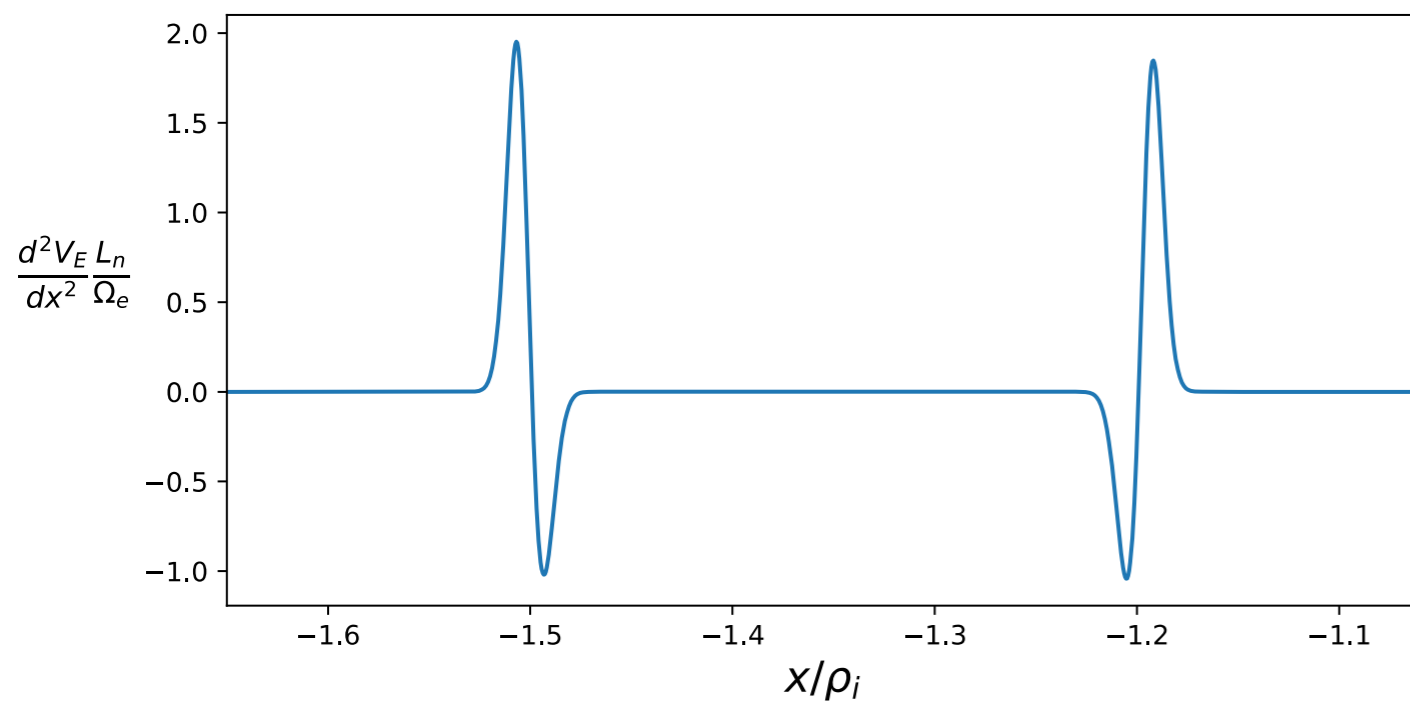
- Iowa U
- WVU
- NRL
- Auburn U
- Japan
- S. Africa
- India

Velocity Gradient (not the Density Gradient) is the Source for Lower Hybrid Waves in Dipolarization Fronts

- Dispersion relation for waves with $k_{\parallel} \sim 0$ and both density and velocity gradient is,

$$\left\{ \frac{d^2}{dx^2} - k_y^2 + G(\omega) \frac{k_y \overbrace{(d^2 V_E / dx^2 - \Omega_e / L_n)}^{EIH}}{\omega - k_y V_E(x)} \right\} \varphi(x) = 0, \quad \frac{1}{L_n} \circ \frac{1}{n} \frac{dn}{dx}, \quad G(W) = \left(\frac{W_{pe}^2}{W_{pe}^2 + W_e^2} \right) \left(\frac{W^2}{W^2 - W_{LH}^2} \right), \quad W_{LH}^2 = \frac{W_{pi}^2 W_e^2}{W_e^2 + W_{pe}^2}$$

- For $V_E \rightarrow 0$ reduces to the equation for the Lower Hybrid Drift Instability (LHDI) [Mikhailovski and Tsypin, *JETP*, 1963; Krall and Liewer, *Phys Rev A*, 1971]
- For $L_n \rightarrow \infty$ reduces to the Electron Ion Hybrid (EIH) Instability [Ganguli et al., *Phys Fluids*, 1988]



Kinetic equilibrium model for a dipolarization front shows

- A strong ambi-polar electric field across the magnetic field as a result of global compression
- Spatial variation in both electron and ion scales
 - Ion and electron orbits are affected differently resulting in unique particle distribution
- Spatial gradient in the electric field that causes anisotropy and non-gyrotropy in the distribution function
- MHD/fluid descriptions become inadequate for $L \lesssim 2\rho_i$

Analytical model validated in a 1D PIC simulation

Magnetic field curvature leads to parallel electric field

- Parallel electric field can accelerate non thermal particles to form parallel beams
 - Provides a non-reconnection basis for their existence
- Existence of parallel electric field implies anisotropic pressure tensor

Plasma compression due to dipolarization of field lines generates velocity shear

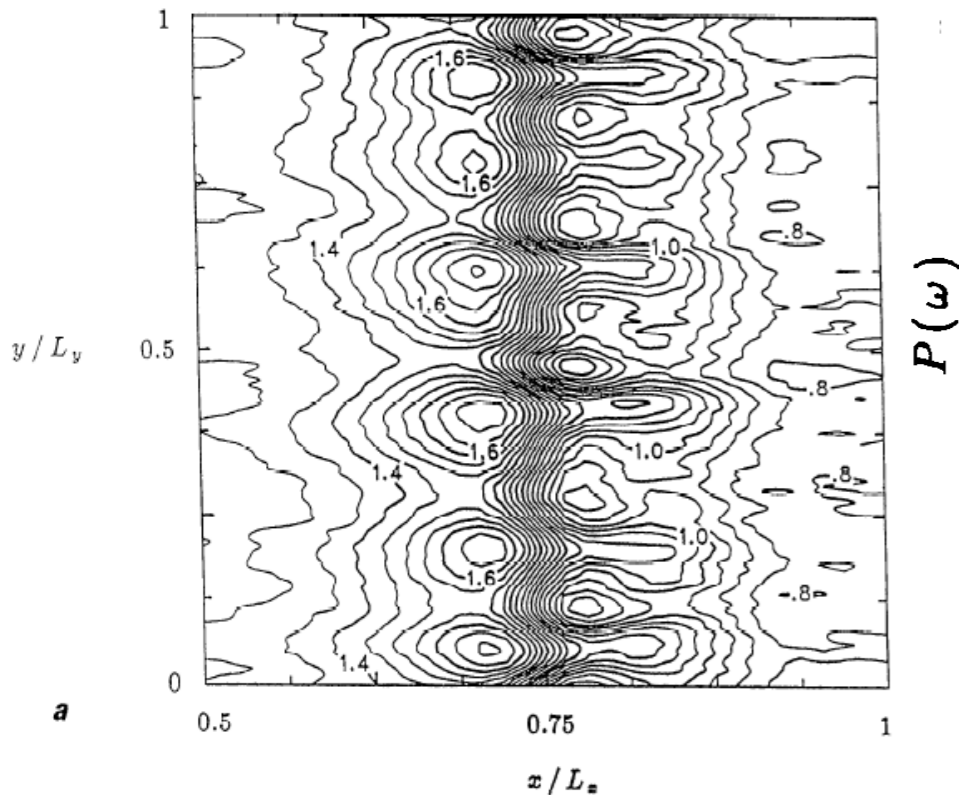
- Velocity shear generates a hierarchy of instabilities in a broad frequency and wave vector band
- In the collisionless plasma environment these waves lead to relaxation of stress in a DF

2 1/2 D PIC Simulation

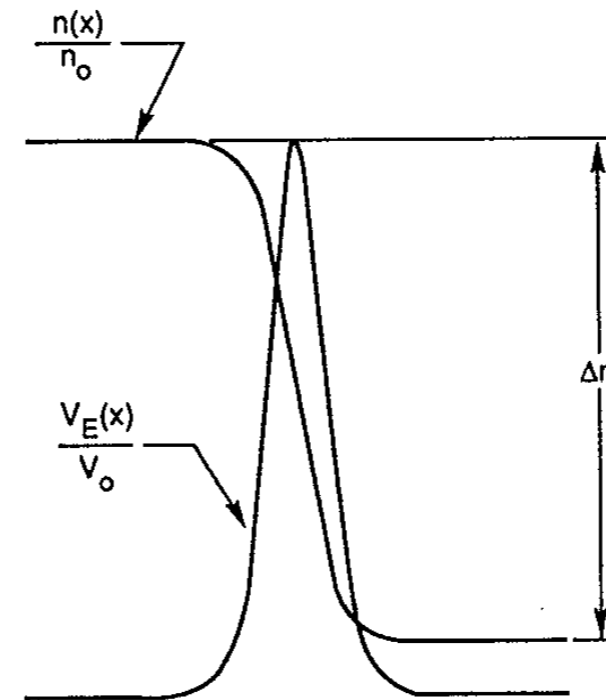
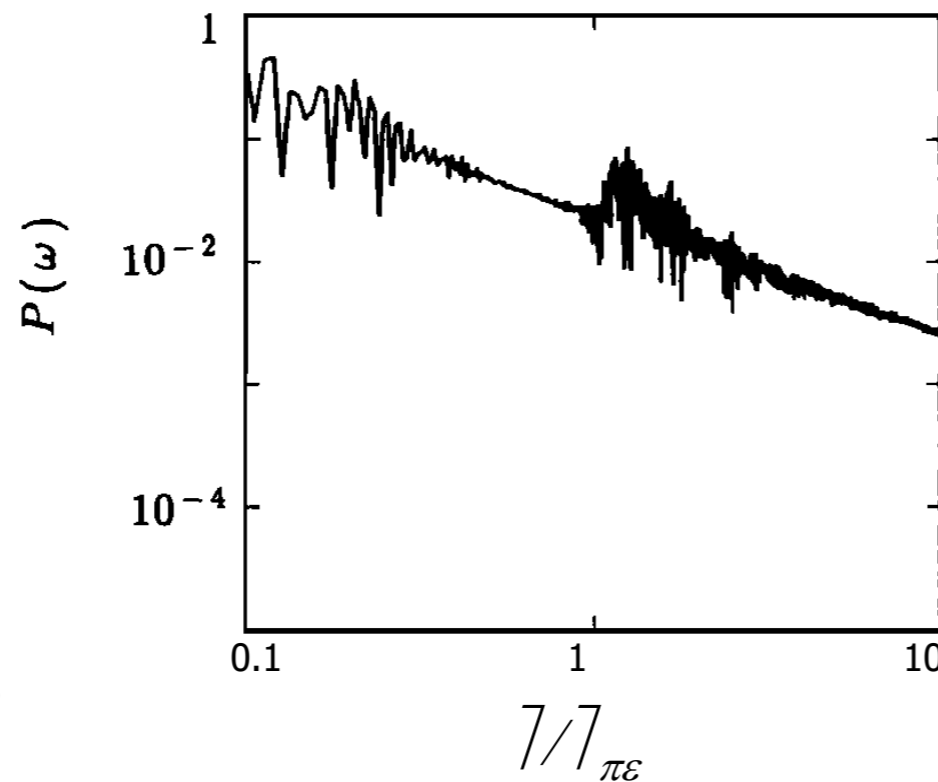
Shear stronger in the electron layer where $E \times B$ drift is larger than the diamagnetic drift

Signatures consistent with the EIH instability

Contours of electrostatic potential

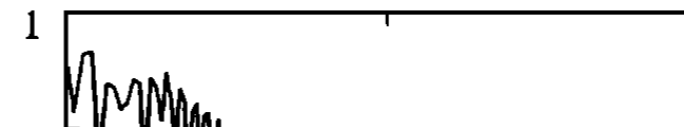


Broadband emission



Relaxation of initial flow

the EIH-induced anomalous viscosity can be found in *Romero and Ganguli, 1993*. We also note that the final v profile shown in Fig. 2 extends between 1 and $2 \rho_i$ and that its magnitude is larger than the ion thermal velocity. This implies that the state of the system is now susceptible to the Inhomogeneous Energy Density Driven instability (IEDDI) [*Ganguli, et al., 1988a*]. Further development of shear due to IEDDI waves may seed the system to the Kelvin-Helmholtz ($\omega < \Omega_i$) instability unless a

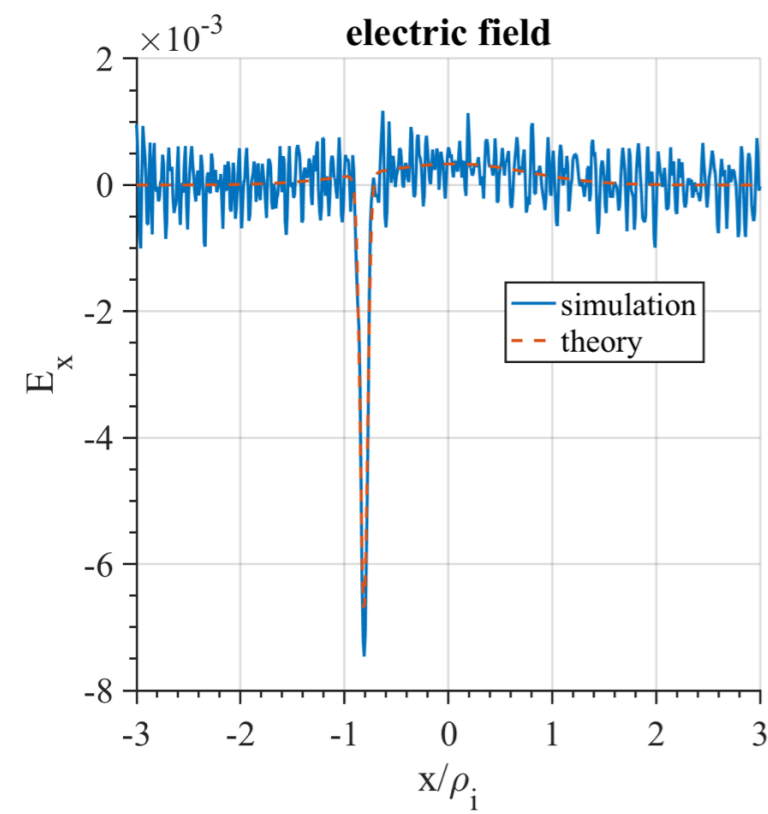
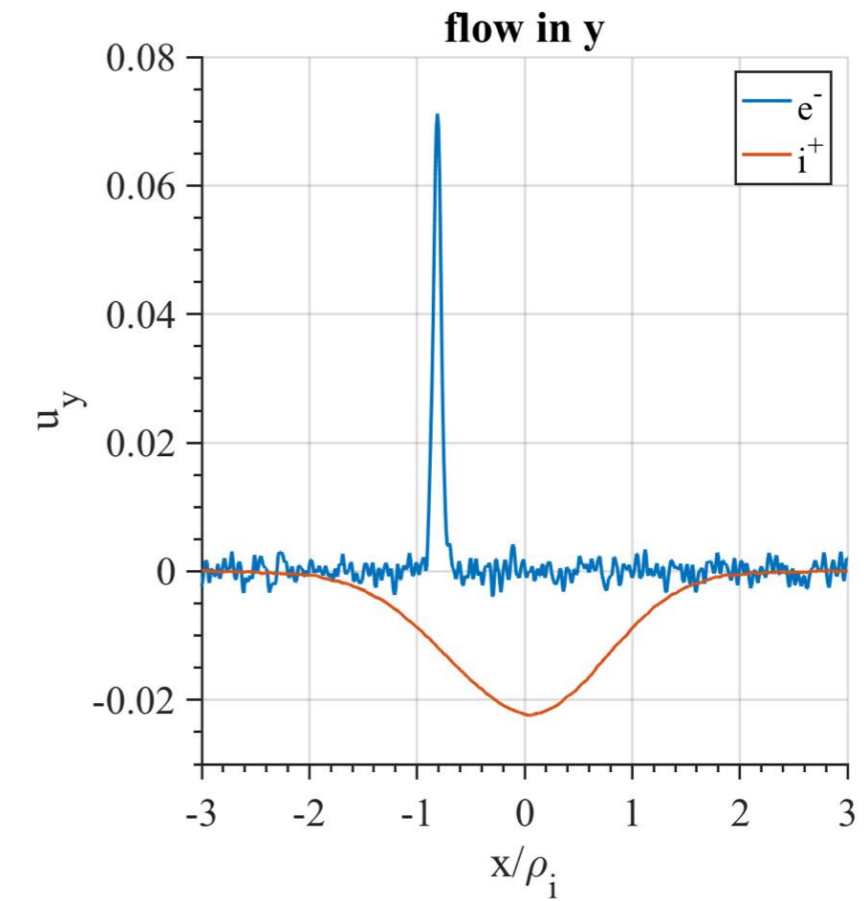
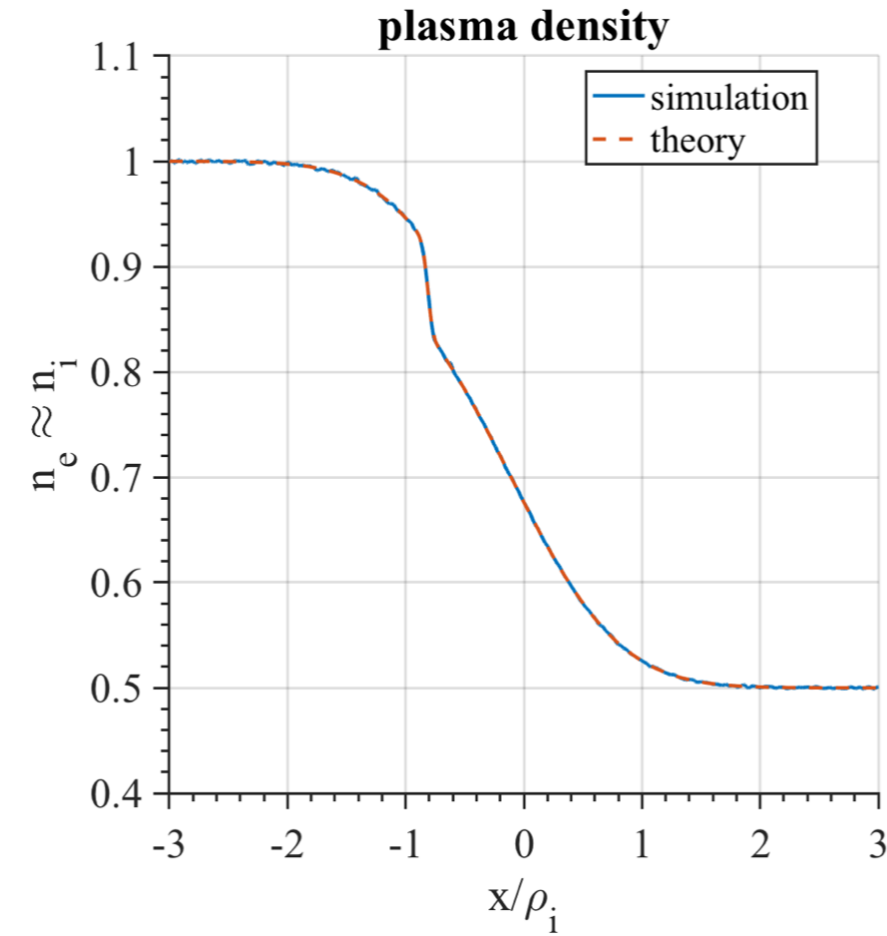
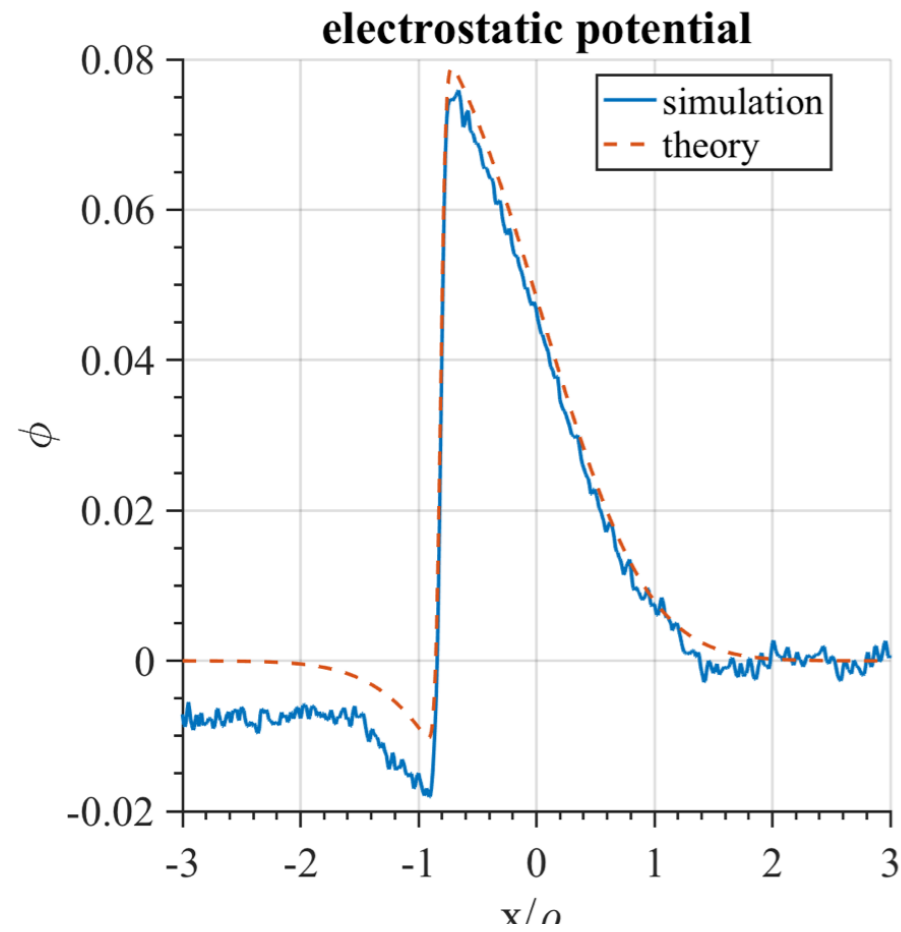


- $K_y L \sim O(1)$
- L becomes larger with time
- This can successively trigger lower frequency waves

$$L < r_i \Rightarrow W \sim W_{LH} > W_i$$

$$L \sim r_i \Rightarrow W \sim W_i$$

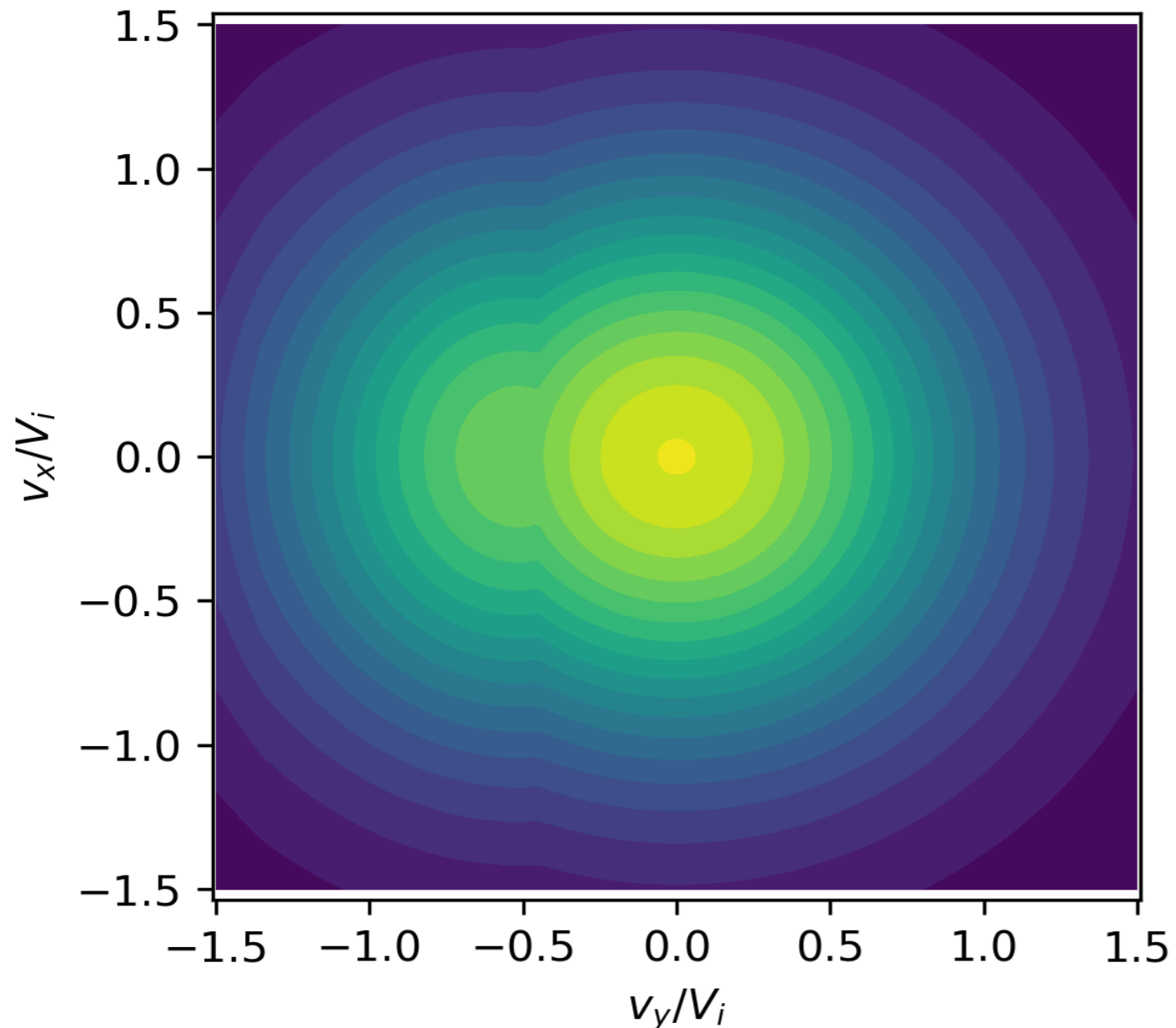
$$L > r_i \Rightarrow W < W_i$$



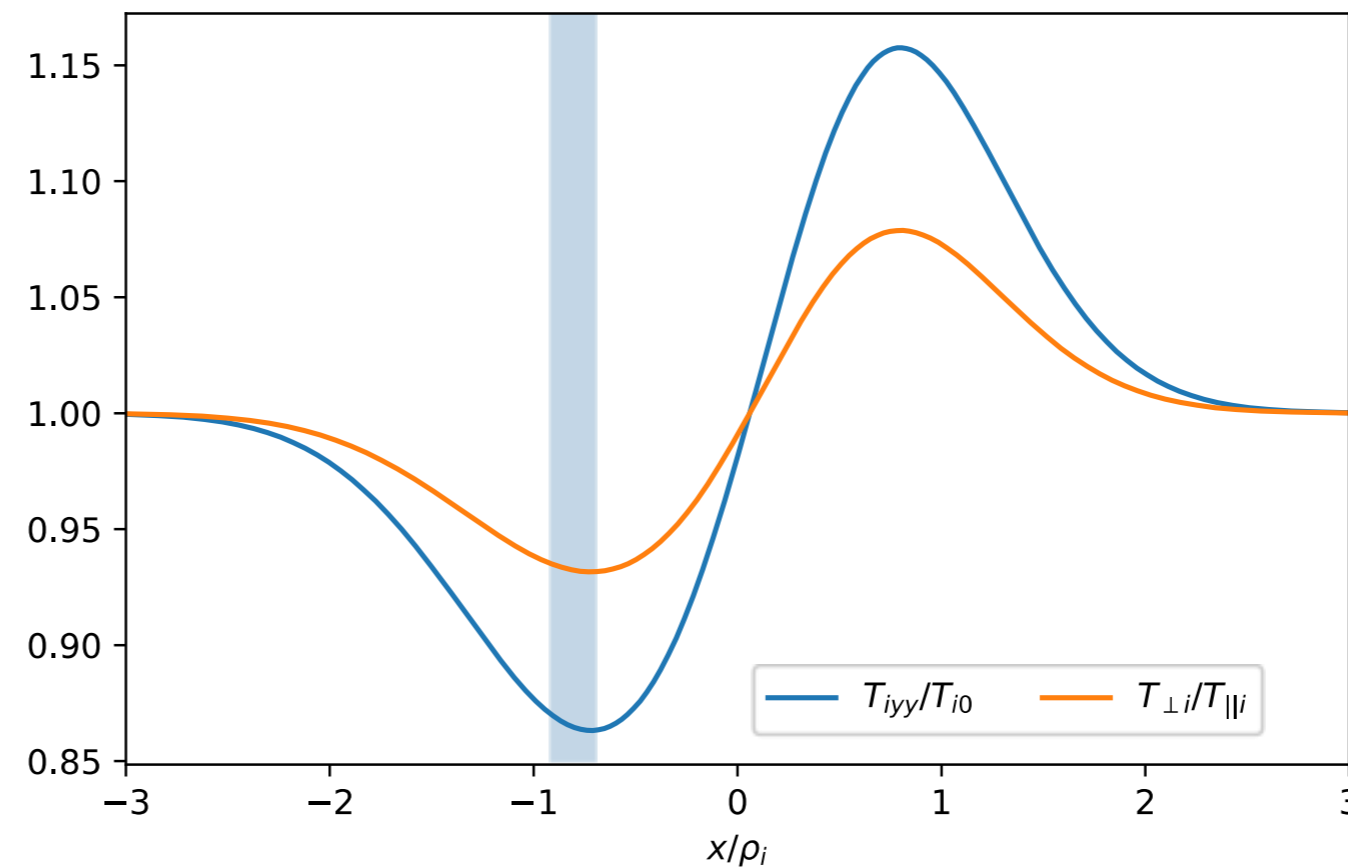
Electric Field Gradient Breaks Gyrotropy and Introduces Temperature Anisotropy

Non-gyrotropy and temperature anisotropy small for electrons but large for ions because velocity shear $dV_E/dx > \Lambda_i$ but $< \Lambda_e$

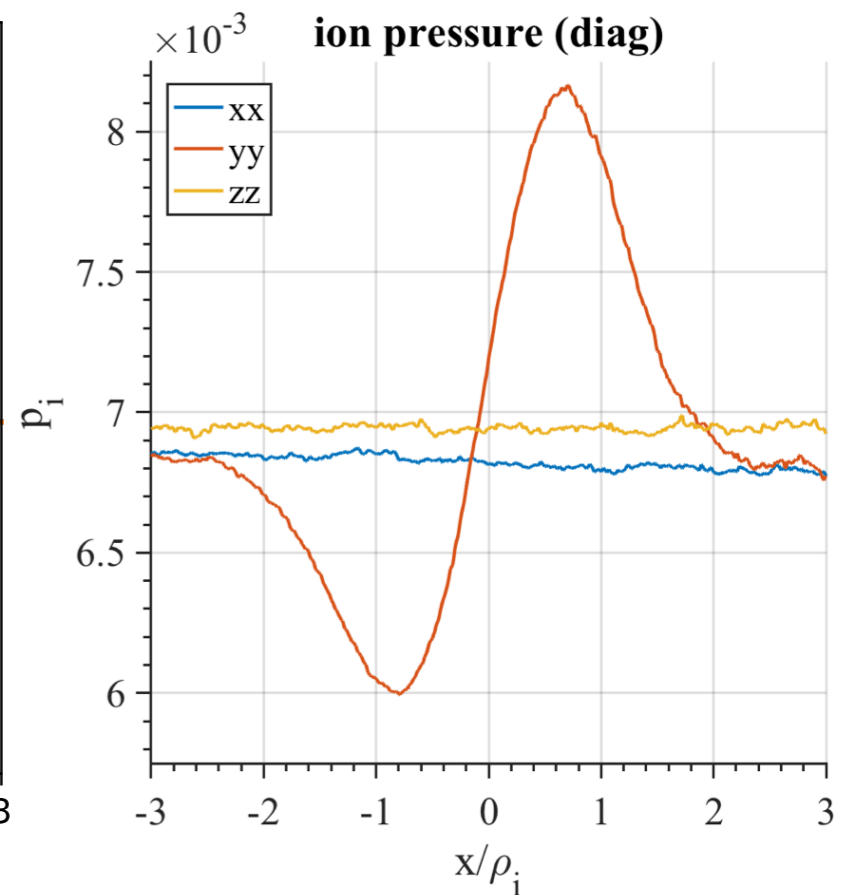
Ion Distribution Function



Analytical Model



1D PIC Simulation



Transverse Velocity Shear Can Drive Broadband Waves

Weak Shear $L > r_i, dV_E / dx < W_i$

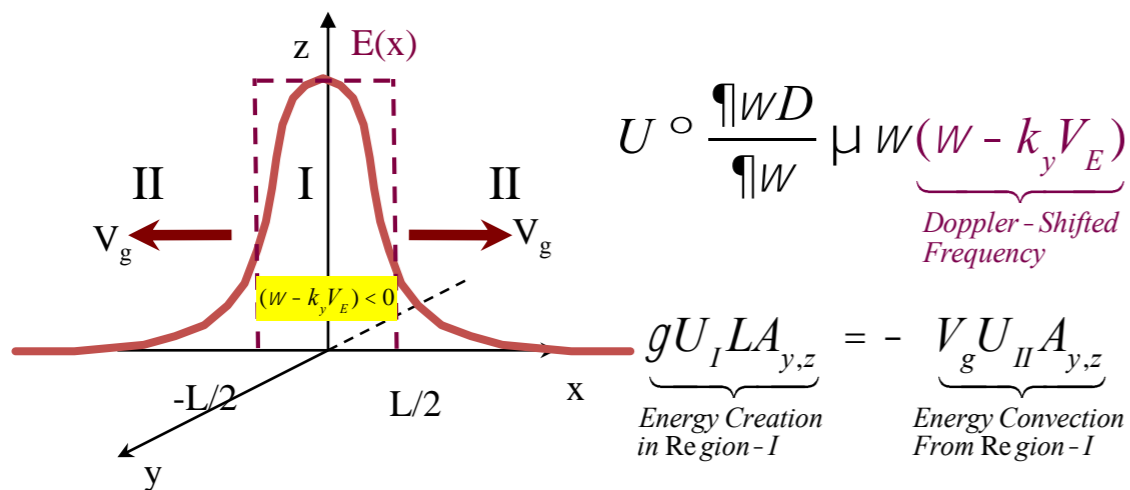
Kelvin-Helmholtz $(W - k_y V_E) \ll W_i, k_y r_i < 1$

$$\left(\frac{d^2}{dx^2} - k_y^2 + \frac{k_y V_E''}{W - k_y V_E} \right) f_1(x) = 0$$

$$\frac{\partial}{\partial t} \int dx \left(\underbrace{\frac{|E_1|^2}{8\pi} + \frac{n_{0i} m_i |cE_1|^2}{2 B_0^2}}_{\text{Wave Energy}} + \underbrace{\frac{n_{0i} m_i}{2} |x_1|^2 V_E(x) V_E''(x)}_{\text{Energy Extracted From } E_0 \times B_0 \text{ Drift}} \right) = 0$$

$$\underbrace{V_E^2(x)}_{\text{No Waves}} - \underbrace{\langle V_E(x + x_1) \rangle^2}_{\text{With Waves}} = - |x_1|^2 V_E(x) V_E''(x) + O(1/L^3)$$

IEDDI $(W - k_y V_E) \sim nW_i, k_y r_i \geq 1$



$$\gamma \propto -(U_{II} / U_I)$$

Strong Shear $L < r_i, dV_E / dx > W_i$

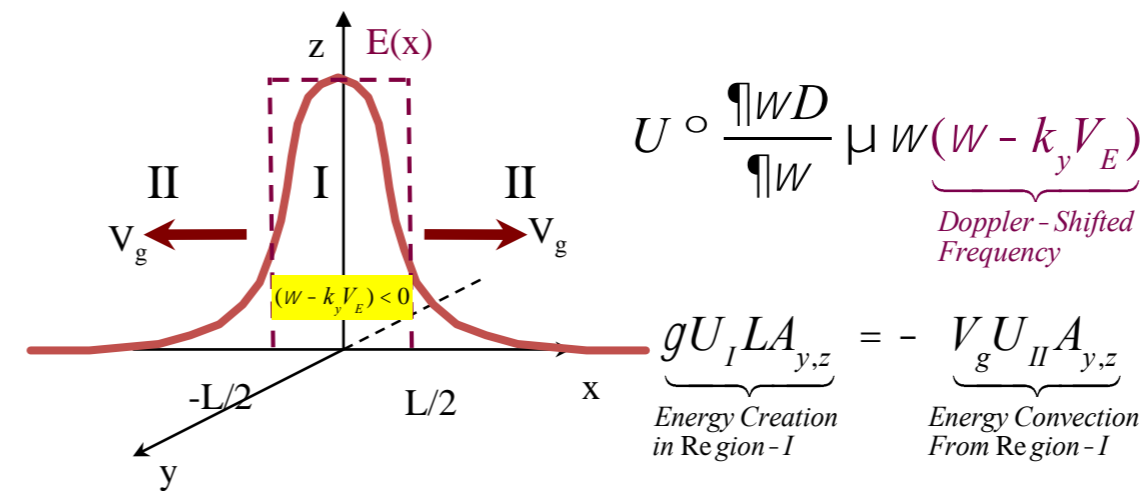
Electron-Ion Hybrid (EIH) $W_i < W < W_e, k_y r_i > 1$

$$\left(1 + \frac{W_{pe}^2}{W_e^2} - \frac{W_{pi}^2}{W^2} \right) \nabla^2 f_1(x) + \left(\frac{W_{pe}^2}{W_e^2} \right) \frac{k_y V_E''}{W - k_y V_E} f_1(x) = 0$$

$$\frac{\partial}{\partial t} \int dx \left(\underbrace{\frac{|E_1|^2}{8\pi} + \frac{n_{0e} m_e |cE_1|^2}{2 B_0^2} + \frac{n_{0i} m_i |cE_1|^2}{2 m_i^2 \omega_r^2}}_{\text{Wave Energy}} + \underbrace{\frac{n_{0e} m_e}{2} |x_1|^2 V_E(x) V_E''(x)}_{\text{Energy Extracted From } E_0 \times B_0 \text{ Drift}} \right) = 0$$

$$\underbrace{V_E^2(x)}_{\text{No Waves}} - \underbrace{\langle V_E(x + x_1) \rangle^2}_{\text{With Waves}} = - |x_1|^2 V_E(x) V_E''(x) + O(1/L^3)$$

Electron IEDDI $(W - k_y V_E) \sim nW_e, k_y r_e \sim 1$



$$\gamma \propto -(U_{II} / U_I)$$